# IAP6 Rec'd PCT/PTO 27 JUL 2007

PTO-1390 (Rev. 09-2006)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL LETTER TO THE UNITED STATES	ATTORNEY'S DOCKET NUMBER 30051/41060			
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371	U.S. APPLICATION NO. (if known, see 37 CFR 1.5)			
INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE	10/583,021 PRIORITY DATE CLAIMED			
PCT/EP2005/000599 21 January 2005	4 February 2004			
TITLE OF INVENTION TENSION OR GUIDE RAIL WITH AN INSTALLATION CHANNEL				
APPLICANT(S) FOR DO/EO/US Sandra Ketterl et al.				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO	/US) the following items and other information:			
1. This is a FIRST submission of items concerning a submission under 35 U				
2. X This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a s	ubmission under 35 U.S.C. 371.			
3. This is an express request to begin national examination procedures (35 l include items (5), (6), (9) and (21) indicated below.	J.S.C. 371(f)). The submission must			
4. The US has been elected (Article 31).				
5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2))				
a. is attached hereto (required only if not communicated by the Internation	onal Bureau).			
b. has been communicated by the International Bureau.				
c. is not required, as the application was filed in the United States Recei	iving Office (RO/US).			
6. X A substitute English language translation of the International Application a	s filed (35 U.S.C. 371(c)(2)).			
a. x is attached hereto, along with a copy of verification certificate. (Both ch	ean and marked-up copies of the substitute translation.)			
b. has been previously submitted under 35 U.S.C. 154(d)(4).				
7. Amendments to the claims of the International Application under PCT Artic	de 19 (35 U.S.C. 371(c)(3))			
a. are attached hereto (required only if not communicated by the Interna	tional Bureau).			
b. have been communicated by the International Bureau.				
c. have not been made; however, the time limit for making such amendr	nents has NOT expired.			
d. have not been made and will not be made.				
8 An English language translation of the amendments to the claims under P	CT Article 19 (35 U.S.C. 371(c)(3)).			
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).				
An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).				
Items 11 to 20 below concern document(s) or information included:				
11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.				
12. An assignment document for recording. A separate cover sheet in compli	ance with 37 CFR 3.28 and 3.31 is included.			
13. x A replacement preliminary amendment.	:			
14. An Application Data Sheet under 37 CFR 1.76.				
15. x A substitute specification (Clean & Marked-Up Versions).				
16. A power of attorney and/or change of address letter.	;			
17 A computer-readable form of the sequence listing in accordance with Po	CT Rule 13 <i>ter</i> .2 and 37 CFR 1.821 – 1.825.			
18. A second copy of the published International Application under 35 U.S.	C. 154(d)(4).			
19. A second copy of the English language translation of the international a	pplication under 35 U.S.C. 154(d)(4).			

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. 10/583,021 PCT/EP2005/000599		ATTORNEY'S DOCKET NUMBER 30051/41060					
20. x Other items or information: Response to Notification of Missing Requirements, copy of Notification of Missing Requirements; Petition For Extension of Time (3-months with fee); Return Receipt Postcard.							
The following	ing fees have	been submitte				041 0111 47101	
_	-		))		\$300	\$	IS PTO USEONLY
			.,		·		
If the written opinion prepared by IPEA	prepared by ISA VUS indicates a	II claims satisfy pr	ational preliminary exam ovisions of PCT Article 3	3(1)	-(4)\$0	\$	
23. Search f	fee (37 CFR 1	.492(b))					
If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$400 All other situations \$500				\$			
· ·	TAL OF 21, 22					\$ 0.0	00
sequence t electronic r	Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets Ex	dra Sheets		additional 50 or fraction up to a whole number)		RATE		
- 100 =	/50 =				x \$250.00	\$	
Surcharge of \$130 for after the date of com	or furnishing any mencement of t	of the search fee he national stage	e, examination fee, or the (37 CFR 1.492(h)).	oati	n or declaration	\$	
CLAIMS		BER FILED	NUMBER EXTRA		RATE		
Total claims Independent clair		1 - 20 = 3 - 3 =	0	x	50.00 200.00	0.0	<del></del>
MULTIPLE DEPEND			<u> </u>	+	200.00	0.0	70
	, , , , , , , , , , , , , , , , , , , ,		TOTAL OF A	BO	/E CALCULATIONS =	\$ 0.0	00
Applicant cla	ims small entity	status. See 37 C	FR 1.27. Fees above ar	e re	duced by 1/2.		
					SUBTOTAL =	\$ 0.0	00
	Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).		\$ 130.00				
	TOTAL NATIONAL FEE =			\$	0.00		
	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property \$						
Petition for extension of time (3-months)		\$ 1,020.00					
LANDGRA 00000016	10583021		то	TAL	FEES ENCLOSED =	\$	1,150.00
130.00 OP		Amount to be refunded:	\$				
						Amount to be charged	\$

08/01/2007 01 FC:1618

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

a. X A check in the amount of \$1,150.00	to cover the above fees is enclosed.			
b. Please charge my Deposit Account No. A duplicate copy of this sheet is enclosed.	in the amount of \$ to cover the above fees.			
c. x The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No13-2855 A duplicate copy of this sheet is enclosed.				
d. Fees are to be charged to a credit card. WARNIN should not be included on this form. Provide of	NG: Information on this form may become public. Credit card information credit card information and authorization on PTO-2038			
NOTE: Where an appropriate time limit under 37 CFR 1 filed an granted to restore the International Application	1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be n to pending status.			
SEND ALL CORRESPONDENCE TO:	Jese R. Kregel			
	Jeremy R. Kriegel			
CUSTOMER NUMBER: 04743	NAME			
COSTOWIER NOWBER. 04740	39,257			
	REGISTRATION NUMBER			
·				

Approved for use through 03/31/2007. OMB 0651-0030 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application No. (if known): 10/583,021 Attorney Docket No.: 30051/41060

# **Certificate of Express Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service Express Mail service, EV913873837US, in an envelope addressed to:

> MS PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

July 27, 2007 Date

( Signati	ure	
Juan Qui	ntero	
Typed or printed name of person signing Certificate		
Davidsolina Manhau Wangilinahi	(312) 474-6300	
Registration Number, if applicable	Telephone Number	

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal Letter to the United States Designated-Elected Office (3pages in duplicate);

Response to Notification of Missing Requirements (2 pages);

Petition for 3-Month Extension of Time (1 page)

Substitute English Translation w/Translator's Verification;

(Substitute) Preliminary Amendment (5 pages);

Substitute (Replacement) Specification - Clean & Marked-Up Versions;

(copy of) Notification of Missing Requirements (2 pages); and,

Postcard.

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below via Express Mail Courier, No. EV913873837US, in an envelope addressed to: MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: July 27, 2007

Signature:

Docket No.: 30051/41060

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Sandra Ketterl et al.

Confirmation No.: 6967

Application No.: 10/583,021

Art Unit: 3654

Filed: June 15, 2006

Examiner: Not Yet Assigned

For: Tension or Guide Rail with an Installation

Channel

## **RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Notification of Missing Requirements mailed March 20, 2007, Applicants respectfully submit a substitute English language translation of the international application as filed, a marked-up version of that substitute English language translation, a clean copy of the translation (to include changes made), a Translator's Verification (as to the substitute English translation of the specification and claims), and a Preliminary Amendment to replace the Preliminary Amendment filed June 15, 2006 (to be withdrawn). This response is timely filed with a petition for 3-month extension of time and requisite fee.

Our check in the amount of \$1,150.00 covering the fees set forth in 37 CFR 1.492(i) and 37 CFR 1.136(a) is enclosed. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 13-2855, under Order No. 30051/41060. A duplicate copy of this paper is enclosed.

Application No.: 10/583,021 Docket No.: 30051/41060

Dated: July 27, 2007

Respectfully submitted,

By R. Kriegel Jeremy R. Kriegel Registration No.: 39,257

MARSHALL, GERSTEIN & BORUN LLP

233 S. Wacker Drive, Suite 6300

**Sears Tower** 

Chicago, Illinois 60606-6357

(312) 474-6300

**Attorney for Applicants** 



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## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P. Dex 1450 Alexandria, Virginia 22313-1450 www.unpto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY, DOCKET NO.

10/583,021

MARSHALL, GERSTEIN & BORUN LLP

233 S. WACKER DRIVE, SUITE 6300

Sandra Ketterl

30051/41060

INTERNATIONAL APPLICATION NO.

PCT/EP05/00599

PRIORITY DATE

I.A. FILING DATE 01/21/2005

02/04/2004

MAR 2 7 2007

RECEIVED

MARSHALL GERSTEIN

**CONFIRMATION NO. 6967** 

**371 FORMALITIES LETTER** 

\*OC000000022962628\*

Date Mailed: 03/20/2007

**SEARS TOWER** 

CHICAGO, IL 60606

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/15/2006
- Copy of the International Search Report filed on 06/15/2006
- Preliminary Amendments filed on 06/15/2006
- Information Disclosure Statements filed on 06/15/2006
- Oath or Declaration filed on 10/04/2006
- U.S. Basic National Fees filed on 06/15/2006
- Substitute Specification filed on 06/15/2006
- Priority Documents filed on 06/15/2006
- Power of Attorney filed on 10/04/2006
- Specification filed on 06/15/2006
- Claims filed on 06/15/2006
- Abstracts filed on 06/15/2006
- Drawings filed on 06/15/2006

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. Note a processing fee will be required if submitted later than 30 months from the priority date.
- Translation of the application into English. The current translation of the application into English is defective as described below. Note a processing fee will be required if submitted later than 30 months from the priority date.
  - The number of claims in the International Application and the number of claims in the translation are not the same.

• Processing fee of \$130 for providing the translation of the application and/or the Annexes later than 30 months from the priority date (37 CFR 1.492(i)).

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 for English translation surcharge required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

WINSTON M ALVARADO

Telephone: (703) 308-9140 EXT 206

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/583.021	PCT/EP05/00599	30051/41060

FORM PCT/DO/EO/905 (371 Formalities Notice)